IDS Form PTO/SB/08: Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(OSC as many sneets	as necessary)	
1	of	

Complete if Known IPF				
Application Number	10/519,162			
Filing Date	December 23, 2004 &			
First Named Inventor	Kazuo UMEZAWA NAR 0 1 2006			
Art Unit	Not Yet Assigned \			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	09707.0001			

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		4,861,872	08-29-1989	Okamoto et al.	
		4,912,205	03-27-1990	Okamoto et al.	
		<u></u>			

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		WO 00/02548	01-20-2000	Osteoscreen		
		JP63-178101	07-22-1988	Daicel Chem Ind. Ltd.		abstract
		JP6-211902	08-02-1994	Daicel Chem Ind. Ltd.		yes
		JP2001-296288	10-26-2001	Daicel Chem Ind. Ltd.		yes
		JP2001-352986	12-25-2001	Kyowa Hakko Kogyo Co. Ltd		yes
		JP2002-128690	05-09-2002	Hirohata Tashinari		yes
·						
						-

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		KOBAYASHI M., "Expression Regulation of TNF $\alpha$ in Adipocytes and Significance of Insulin Resistance", Igaku no ayumi, 184(6), pp. 562-66, (1998).	yes
		SCHEDEL et al., "Synthesis of (R)-4,4,4-trifluoro-2-mercaptobutyric acid", Tetrahedron: Asymmetry, 11, pp. 2125-317, (2000).	
		KURU et al., "TNF Expression in Muscular Disorder", Neuroimmunology 10, pp. 174-75 (2002).	yes
		NAKAMURA et al., "Inhibitory Effect of New NF-kB Inhibitor DHME2Q for Human Breast Cancer Cells", Proceedings of the Japanese Cancer Association, 61st Annual Meeting, Abstract 3550, p. 157 (2002).	yes
	_	HORIGUCHI et al., "Antitumor Effect of a Novel Nuclear Factor-κB Activation Inhibitor in Bladder cancer Cells, Expert Rev. Anticancer Ther. 3, pp. 793-8 (2003).	
		CHAICHAROENPONG et al., "Preparation of Radioactively Labeled Dehydroxymethylepoxyquinomicin, an NF-κB Function Inhibitor", Drugs Exptl. Clin. Res. XXIX, pp. 1-3 (2003).	
		MIYAJIMA et al., "Novel Nuclear Factor kB Activation Inhibitor Prevents Inflammatory Injury in Unilateral Ureteral Obstruction", J. Urology 169, pp. 1559-63 (2003).	
		LIN et al., "(-)-Epigallocatechin-3-gallate Blocks the Induction of Nitric Oxide Synthase by Down-Regulating Lipopolysaccharide-Induced Activity of Transcription Factor Nuclear Factor-kB", Molecular Pharmacology 52, pp. 465-72 (1997).	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	

Complete if Known OPE				
Application Number	10/519,162			
Filing Date	December 23, 2004 1006			
First Named Inventor	Kazuo UMEZAWA MAR			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	09707.0001			

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	Translation
Initials	No.1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		OHLSSON et al., "Oxidized Low Density Lipoprotein Inhibits Lipopolysaccharide-induced Binding of Nuclear Factor-κB to DNA and the Subsequent Expression of Tumor Necrosis Factor-a and Interleukin-1b in Macrophages, J. Clin. Invest. 98, pp. 78-89 (1996).	
		ELIGINI et al., "Oxidized Phospholipids Inhibit Cyclooxygenase-2 in Human Macrophages Via Nuclear Factor-κΒ/ΙκΒ and ERK-2-dependent Mechanisms", Cardiovascular Res. 55, pp. 406-15 (2002).	
		ARIGA et al., Seikagaku, Journal of Japanese Biochemical Society, 73(8) Abstract (2001).	yes
		UMEZAWA K., "New Molecular Targets of Antidiabetic Agents and Anticancer Agents and Inhibitors", The 2 <sup>nd</sup> Annual Report of the Center for the Development of Molecular Target Drugs, Symposium on Molecular Target Drugs in Kanazawa, Abstract (2002).	yes
		UMEZAWA K., "Role of Signal Transduction Inhibitors of Low Molecular Weight in Regenerative and Genetic Medicine", The 7 <sup>th</sup> Annual Scientific Meeting of Conference of Gene Therapy and Molecular Medicine, Abstract (2003).	yes
_		ARIGA et al., "Synthesis of Novel Epoxydone Compound DHM2EQ and Its Suppression of NF-κB Action", The 4 <sup>th</sup> Annual Meeting of the Japanese Association for Molecular Target Therapy of Cancer, Abstract (2000).	yes
		SHIMA et al., "Inhibition of NF-kB Inhibitor DHMEQ of Adhesion Molecule Expression in vascular Cells", The 6 <sup>th</sup> Annual Meeting of the Japanese Association for Molecular Target Therapy of Cancer, Abstract (2002).	yes
		WATANABE et al., "Basic Studies of the Molecular Target Therapy of ATL Using Novel NF-kB Inhibitor", The 6 <sup>th</sup> Annual Meeting of the Japanese Association for Molecular Target Therapy of Cancer, Abstract (2002).	yes
		TAKAHASHI et al., "NF-kB Inhibition in Prostate Cancer Cells and Anti-Cancer Activity by DHMEQ", The 7 <sup>th</sup> Annual Meeting of the Japanese Association for Molecular Target Therapy of Cancer, Abstract (2003).	yes
		WATANABE et al., "Basic Studies of the Molecular Target Therapy of ATL Using Novel NF-kB Inhibitor", The 7 <sup>th</sup> Annual Meeting of the Japanese Association for Molecular Target Therapy of Cancer, Abstract S3-4 (2003).	yes
		TATETSU et al., "In Vivo and in Vitro Studies of Novel NF-kB Inhibitor (DHMEQ) in Myeloma Cells", The 28th Annual Meeting of Japan Myeloma Study Group, Abstract (2003).	yes
	-	ARIGA et al., "Inhibition of NF-kB by a Novel Epoxydone Compound", The 59 <sup>th</sup> Annual Meeting, Abstract (2000).	yes
		KIKUCHI et al., "Apoptosis-Induction in Hormone-Refractory Prostate Cancer Using a Novel NF-kB Activation Inhibitor", Proceedings of the Japanese Cancer Association, The 60 <sup>th</sup> Annual Meeting, Abstract (2001).	yes
		SHIMA et al., "Inhibition of Adhesion Molecule Expression by a NF-kB Inhibitor DHMEQ in Vascular Endothelial Cells", Proceedings of the Japanese Cancer Association, The 61th Annual Meeting, Abstract (2002).	yes
	•	NAMEKAWA et al., "Inhibition of NF-kB Activity in Bladder Carcinoma Cells", Proceedings of the Japanese Cancer Association," The 61th Annual Meeting, Abstract (2002).	yes
		WATANABE et al., "Basic Studies of the Molecular Target Therapy of ATL Using a Novel NF-kB Inhibitor", Proceedings of the Japanese Cancer Association, The 61th Annual Meeting, Abstract, p 162 (3486), (2002).	yes
		MATSUMOTO et al., "NF-kB Inhibitor Enhances the Anti-Cancer Effect of TNF-α Treatment and Ionizing Radiation in P.53 Wild Pancreatic Cancer", Proceedings of the Japanese Cancer Association, The 62 <sup>rd</sup> Annual Meeting, Abstract, p. 160 (2073-OA), (2003).	yes
		HORIE et al., Basic Studies of the Molecular Target Therapy of ATL Using a Novel NF-kB Inhibitor", Proceedings of the Japanese Cancer Association, The 62 <sup>nd</sup> Annual Meeting, Abstract, p. 153 (2039-OA), (2003).	yes
	_	SUZUKI et al., "Inhibition of Macrophage Activation by the Novel NF-kB Inhibitor, Dehydroxymethylepoxyquinomicin", The 3 <sup>rd</sup> International Symposium on Natural Drugs, Naples, Abstract (2003).	
		ARIGA et al., "Molecular Design and Biological Activities of a New Nf-kB Inhibitor, Dehydroxymethylepoxyquinomicin (DHMEQ)", Faculty of Science and Technology, Biology and Pathology, Keio University, Yokohama 223-0061, Japan, Abstract (2002).	
		UMEZAWA, "Molecular Design and Biological Activities of NF-kB and Protein-Tyrosine Phosphatase Inhibitors", Department of Applied Chemistry, Keio University, Ewha Woman's University Annual Symposium (Gosari) (2002).	

Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO 10/519,162 Application Number December 23, 2004 INFORMATION DISCLOSURE Filing Date First Named Inventor Kazuo UMEZAWA STATEMENT BY APPLICANT MAR 0 1 2006 Art Unit Not Yet Assigned (Use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 3 Attorney Docket Number 09707.0001

NON PATENT LITERATURE DOCUMENTS	
HORIGUCHI et al., "A Novel NF-kB Activation Inhibitor Mediated Apoptosis as a New Therapeutic Approach in Hormone-Refractory Prostate Cancer", American Urological Association Annual Meeting, Abstract (2002).	
MIYAJIMA et al., "Nuclear Factor-Kappa B Activation Inhibitor Prevents Renal Tissue Damage In Unilateral Ureteral Obstruction", American Urological Association Annual Meeting, Abstract (2002).	
HORIGUCHI et al., "Anti-Tumor Effect of a Novel NF-kB Activation Inhibitor in Bladder Cancer Cells", American Urological Association Annual Meeting, Abstract (2003).	_
UMEZAWA, "Molecular Design and Biological Activities of a New NF-kB Inhibitor, Dehydroxymethylepoxyquinomicin", The 5 <sup>th</sup> International Symposium on Molecular Medicine, Crete, Greece, Abstract (2002).	
NAMEKAWA et al., "Suppression of Human Breast Carcinomas in Mice by a Novel NF-kB Inhibitor DHMEQ", The 76 <sup>th</sup> Annual Meeting of the Japanese Biochemical Society, Abstract	
SUZUKI et al., "Inhibition of Ligand-Induced Macrophage Activation by an NF-kB Inhibitors, DHMEQ", The 76th Annual Meeting of the Japanese Biochemical Society, Abstract	
KUBOTAL et al., "Application of an NF-kB Inhibitor to the Therapy of SLE", The 67 <sup>th</sup> Annual Scientific Meeting of the American College of Rheumatology, Abstract (2003).	
HORIGUCHI et al., "Suppression of Hormone-Refractory Prostate Cancer by a Novel NF-kB Inhibitor in Nude Mice", Proceedings of the American Association for Cancer Research 93 <sup>rd</sup> Annual Meeting, Abstract 2163.	
ARIGA et al., "Inhibition of TNF-Alpha-Induced NF-Kappa B Functions by a Synthetic Epoxyquinomicin Analogue", Proceedings of the American Association for Cancer Research 92 <sup>nd</sup> Annual Meeting, Abstract 2111.	
KIKUCHI et al., "A Novel Nf-kB Activation Inhibitor-Mediated Apoptosis As a New Therapeutic Approach In Hormone-Refractory Prostate Cancer", The 47 <sup>th</sup> Annual Congress of the Japan Section of the International College of Surgeons, Abstract (2001).	

Signature	Examiner Signature	/Kyle Purdy/	Date Considered 08/22/2008	
-----------	-----------------------	--------------	----------------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.